

1                   **Arctic sea-ice change tied to its mean state**  
2                   **through thermodynamic processes**

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12 **SUMMARY PARAGRAPH / ABSTRACT**

13 **One of the clearest manifestations of ongoing global climate change is the dramatic**  
14 **retreat and thinning of the Arctic sea-ice cover<sup>1</sup>. While all state-of-the-art climate**  
15 **models consistently reproduce the sign of these changes, they largely disagree on their**  
16 **magnitude<sup>1-4</sup>, the reasons for which remain contentious<sup>3,5-7</sup>. As such, consensual methods**  
17 **to reduce uncertainty in projections are lacking<sup>7</sup>. Here, using the CMIP5 ensemble, we**  
18 **propose a process-oriented approach to revisit this issue. We show that inter-model**  
19 **differences in sea-ice loss and, more generally, in simulated sea-ice variability, can be**  
20 **traced to differences in the simulation of seasonal growth and melt. The way these**  
21 **processes are simulated is relatively independent of the complexity of the sea-ice model**  
22 **used, but rather a strong function of the background thickness. The larger role played**  
23 **by thermodynamic processes as sea ice thins<sup>8,9</sup> further suggests the recent<sup>10</sup> and**  
24 **projected<sup>11</sup> reductions in sea-ice thickness induce a transition of the Arctic towards a**  
25 **state with enhanced volume seasonality but reduced interannual volume variability and**  
26 **persistence, before summer ice-free conditions eventually occur. These results prompt**  
27 **modelling groups to focus their priorities on the reduction of sea-ice thickness biases.**

28 **MAIN TEXT**

29 Sea ice is a major element of the Arctic environment. It largely shapes the climate and  
30 dynamics of ecosystems, the life of indigenous populations and the rhythm of socio-  
31 economical activities in the High North. Nearly four decades of remote-sensing observations  
32 have revealed that Arctic sea ice is changing at a rapid pace. Some of the most spectacular  
33 indicators are the significant negative trends in area and thickness identified in all seasons<sup>1</sup>.  
34 Numerical General Circulation Models (GCMs) have routinely been used for decades to  
35 investigate the underlying mechanisms of sea-ice loss. For example, GCMs have been  
36 instrumental in formally attributing sea-ice decline to human-induced activities<sup>1</sup>. Substantial  
37 uncertainty persists, however, on the rate of sea-ice loss projected by these models<sup>1-7</sup> at  
38 strategic time scales for infrastructure upgrade and adaptation (i.e., from a season to ~30  
39 years). Research has indicated that, at these time scales, model error and internally generated  
40 climate variability are the dominant factors contributing to uncertainty<sup>11,12</sup>.

41 A prominent feature of the Arctic sea-ice cover is its pronounced seasonality (Fig. 1a).  
42 Interestingly, sea-ice extent trend and variability are enhanced in summer over winter. This  
43 seasonal asymmetry in trend and, to a larger extent, in year-to-year variability (Fig. 1a) may  
44 appear surprising given that lower troposphere air temperatures in the Arctic have increased at  
45 least four times as much in winter as in summer<sup>13</sup>. In fact, sea-ice extent variability is not only  
46 controlled by the atmospheric forcing, but also amplified or damped by internal feedbacks.  
47 The natural processes of seasonal growth and melt of sea ice are modulated by two types of  
48 opposing thermodynamic feedbacks that operate during distinct seasons. A negative anomaly  
49 of sea-ice area in late summer induces larger heat losses in fall and winter from ocean to  
50 atmosphere due to enhanced outgoing long-wave radiation and turbulent heat fluxes<sup>14</sup>. This  
51 causes thinner snow and ice due to later freeze-up and hence larger heat-conduction fluxes  
52 through sea ice (assuming surface temperature is unchanged), eventually leading to larger ice-  
53 growth rates. This implies a negative (stabilising) feedback, commonly referred to as the 'ice  
54 thickness-ice growth feedback'<sup>15</sup>. In spring, an initial decrease in surface albedo (due to early  
55 sea-ice retreat, thinning, formation of melt ponds, or early snow loss) facilitates shortwave  
56 radiation absorption by the ice and ocean, and causes air and ocean surface temperatures to  
57 rise. This enhances ice-surface and -bottom melt, and leads to a further reduction in albedo.  
58 This implies a positive (amplifying) feedback, commonly referred to as the 'ice-albedo  
59 feedback'<sup>15,16</sup>.

60 A state-of-the-art GCM<sup>17</sup> well tested in the Arctic<sup>18</sup> offers a longer-term and more complete  
61 perspective than observations, on the role played by the two opposing feedbacks in the  
62 changing Arctic (Fig. 1b-e). As the ice thins, open-water formation increases during the  
63 melting season over most of the Arctic basin (positive feedback, Fig 1b-c), but an increase in  
64 wintertime sea-ice production occurs during the next ice-growth season (negative feedback,  
65 Fig. 1 d-e) despite larger winter air temperatures.

66 However, characterising such feedbacks is not straightforward, as this generally requires  
67 dedicated numerical experiments in which the feedback studied is excluded and the model  
68 response to a perturbation is compared to the response with the feedback included. Such

69 targeted simulations are usually not available for large multi-model ensembles such as the  
70 Coupled Model Intercomparison Project, phase 5 (CMIP5, see Methods). Therefore, a  
71 comprehensive assessment of the two aforementioned feedbacks cannot be undertaken in  
72 CMIP5. Instead, we here estimate the efficiency at which the two underlying processes of sea-  
73 ice growth and melt operate in CMIP5 models. For this purpose, we introduce two diagnostics  
74 aimed at investigating the thermodynamics of sea ice in climate models. Following an earlier  
75 study<sup>8</sup>, we introduce the 'open-water-formation efficiency' (OWFE), a diagnostic quantifying  
76 the area of open water formed in a control region for a unit reduction in sea-ice volume. We  
77 also introduce the dual diagnostic, the 'ice-formation efficiency' (IFE), as the wintertime  
78 volume gain per unit of previous summer volume change. Both diagnostics are evaluated  
79 north of 80°N and come as one number for a given time window (see Methods).

80 The OWFE and IFE, diagnosed in the central Arctic and on the basis of seasonal  
81 relationships, are found to have a direct connection to the longer term basin-wide sea-ice area  
82 and volume variability in the CMIP5 ensemble (Table 1). In particular, the IFE (OWFE)  
83 tightly controls wintertime (summertime) ice-volume (-area) trends (Table 1). Models that  
84 melt sea-ice area more efficiently (i.e., those with large OWFEs) also display more negative  
85 trends in summer sea-ice area, likely because the same physical processes are at play on both  
86 time scales. These relationships also hold when OWFE/IFE and the sea-ice variability indices  
87 are considered over distinct periods. By making the connection between variability on short  
88 and long time scales but also between regional and basin-wide spatial scales, the OWFE and  
89 IFE therefore offer prospects to identify physical drivers behind simulated Arctic sea-ice  
90 seasonality, interannual variability, persistence and trends in GCMs. These relationships can  
91 formally be reckoned as 'emergent constraints', i.e. collective behaviours emerging from a  
92 model ensemble between current and future climate characteristics<sup>19</sup>. Therefore, to understand  
93 the origins of spread in future sea-ice properties simulated by the CMIP5 models, it is  
94 necessary to first identify the fundamental drivers behind the OWFE and IFE themselves.

95 A clear inverse relationship is identified between the efficiency of the two thermodynamic  
96 processes (IFE and OWFE) and the annual mean sea-ice volume north of 80°N (the 'mean  
97 state' hereinafter) in the CMIP5 ensemble (Fig. 2a,c;  $n=146$  runs from 44 GCMs). The  
98 thickness-dependence of vertical sea-ice thermodynamics is a basic feature of sea-ice physics  
99 and the enhancement of processes as ice thins has already been documented in earlier  
100 studies<sup>8,9</sup>. However, it is unclear whether the mean state is the dominant parameter affecting  
101 the strength of the thermodynamic processes in the real world and in GCMs. The level of  
102 sophistication of sea-ice physics in the models could be another important factor. It could be  
103 expected, for instance, that models with a subgrid-scale ice-thickness distribution would  
104 resolve growth and melt processes more accurately, and therefore simulate IFE and OWFE  
105 differently from models with simpler physics. To test this hypothesis, we grouped the 44  
106 GCMs into four categories according to their sea-ice component (very simple, simple,  
107 intermediate and complex) and found no obvious link between model physics on the one  
108 hand, and OWFE, IFE and the mean state on the other hand (Extended Data Fig. 1; Methods).  
109 Experiments conducted with a toy 1-D sea-ice ocean-mixed-layer model reproduce the  
110 CMIP5 behaviour (Fig. 2 b,d) and suggest that OWFE and IFE obey this fundamental

111 dependence to thickness regardless of model complexity. In addition, sensitivity experiments  
112 conducted with that toy model indicate that the mean state is the primary factor affecting the  
113 process strength, however that mean state may have been achieved. The fraction of variance  
114 in IFE and OWFE unexplained by the mean state (Fig. 2a-c) can be attributed to internal  
115 variability, that is, variability generated in the coupled climate system itself due to the  
116 numerous nonlinearities and feedbacks therein. Indeed, analyses using a large ( $n=35$ )  
117 ensemble of historical integrations from the Community Earth System Model (CESM-LE)<sup>17</sup>  
118 show that the spread in IFE and OWFE simulated within the same model for a given period is  
119 indeed comparable with the inter-model spread simulated by CMIP5 (Methods).

120 The striking similarities between the CMIP5 models and a toy model (Fig. 2), on the one  
121 hand, and the lack of obvious link between model complexity and process strength (Extended  
122 Data Fig. 1), on the other hand, all underline that the first-order thermodynamic behaviour of  
123 sea ice in GCMs is remarkably consistent and simple at the temporal and spatial scales  
124 considered here. In particular, the level of sophistication of a sea-ice model appears relatively  
125 unimportant in shaping the sea-ice mean state of that model with regard to other influences. It  
126 must be noticed, however, that model diversity is relatively poor in the CMIP5 ensemble: the  
127 sea-ice components share very similar dynamic cores, while the main thermodynamic  
128 differences stand from the ice thickness distribution scheme. In any case, understanding how  
129 atmospheric or oceanic biases affect the sea-ice state as well as a more diligent documentation  
130 on tuning methods<sup>7,20</sup> are likely to give clear insights about the source of spread in current  
131 sea-ice projections. This will hopefully be the case for the upcoming round of inter-model  
132 comparison, CMIP6, for which the ad-hoc diagnostics will be available<sup>21</sup>.

133 Our multi-model analysis predicts that growth and melt processes are enhanced nonlinearly  
134 for models with thin ice (Fig. 2) and that this enhancement affects simulated Arctic sea-ice  
135 volume variability at longer time scales (Table 1). We can therefore expect that, in a model  
136 with declining mean state, sea-ice volume variability is affected through the enhanced action  
137 of growth and melt processes. Analyses conducted with the CESM-LE reveal that this  
138 dependence indeed occurs in this model (Fig. 3). According to this GCM and a sea-ice  
139 reanalysis<sup>22</sup>, Arctic sea-ice volume has already experienced its most negative trends and  
140 largest year-to-year variability. As the ice thins further, sea-ice volume will become less  
141 persistent and exhibit less variability from one year to another. This contrasts with the  
142 projected increases in summer sea-ice area variability and predictability, both regionally and  
143 Arctic-wide<sup>23,24</sup>.

144 The existence of relationships between the mean state and the efficiency of thermodynamic  
145 processes, on the one hand, and between this efficiency and sea-ice area and volume  
146 variability, on the other hand, allows to physically reinterpret the tight link that had been  
147 noticed in earlier studies between mean state, seasonality, persistence, variability and  
148 trends<sup>9,24,25</sup> and seen in this study (Fig. 3). It also has an important implication: the confidence  
149 in near-term predictions or long-term projections from models with a biased mean state  
150 should be questioned. Indeed, linear post-processing methods widely used in the literature<sup>11,26</sup>  
151 appear no longer justified since growth and melt efficiency, and hence sea-ice area and  
152 volume variability, changes with the mean state. For the same reasons, weighted linear

153 combination of model outputs<sup>27</sup> have certainly statistical value but little physical basis. Based  
154 on our findings, sea-ice projections obtained from simulations that have Arctic sea-ice volume  
155 outside the observational range should be discarded as those simulations will not simulate  
156 future thermodynamic sea-ice thinning correctly. Importantly, this does not mean that GCMs  
157 with correct mean states are necessarily trustful for the future. Indeed, a failure to simulate  
158 other, non-thermodynamic processes (e.g., sea-ice dynamics) may imply unreliable projected  
159 sea-ice loss too. In addition, the current spatial distribution of sea-ice thickness<sup>28</sup> or the  
160 sensitivity of sea-ice extent to near-surface air temperatures<sup>29</sup> are known critical factors  
161 driving the future evolution of the sea-ice cover.

162 Given the importance of the mean state for ice-volume trends as highlighted in this study, a  
163 natural final step would be to apply an observational constraint on the simulated volume  
164 projections. However, estimating reliably the annual mean sea-ice volume directly from  
165 observations is challenging, due to the short period for which large-scale estimates of sea-ice  
166 thickness are available (~15 years). In addition, sea-ice thickness estimates are highly  
167 uncertain not only because of instrumental errors, but also because of the numerous  
168 assumptions on geophysical parameters (snow load, seawater, sea-ice and snow densities)  
169 used to retrieve the actual thickness from the raw measurements<sup>30</sup>. Following a highly  
170 conservative methodology that takes these observational uncertainties into account (see  
171 Methods), we come to the conclusion that it is currently not possible to significantly reduce  
172 the spread in projected Arctic sea-ice volume loss (Fig. 4) due to too uncertain observations.  
173 Reducing uncertainties in sea-ice area trend with the same constraint on sea-ice volume  
174 appears to be even more challenging, as future trends in sea-ice area are subject to high  
175 internal variability<sup>7</sup>. Thus, in line with the analyses presented in this study, the current main  
176 obstacle to reducing uncertainties in projected sea-ice volume or area trends is not the  
177 complexity of the models used, but rather the lack of long-term and reliable estimates of sea-  
178 ice volume that can be used to constrain their projections.

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## 275 **Author contributions**

276 F.M., M.V. and H.G. designed the science plan. All authors contributed to the design of the  
277 study. F.M. assembled the data and wrote the manuscript.

278

279 **Table 1** | Linear correlation statistics between indices of sea-ice variability (whole Arctic  
 280 domain) and the simulated processes of growth and melt, namely IFE and OWFE evaluated in  
 281 the domain  $>80^{\circ}\text{N}$  ( $n=44$  CMIP5 models, 1955-2004). A graphical view of these relationships  
 282 is available in Extended Data Fig. 2.

	Correlation coefficient ( $p$ -value, one-sided)	
	IFE	OWFE
Amplitude of ice-volume seasonal cycle	-0.53 (0.0001)	0.52 (0.0001)
Standard deviation of ...	... March sea-ice volume	... September sea-ice area
	0.53 (0.0001)	0.66 ( $<10^{-6}$ )
Persistence, defined as $e$ -folding time scale of linearly detrended ice-volume anomalies	0.59 (0.00001)	-0.29 (0.03)
Linear change in...	... March sea-ice volume	... September sea-ice area
	-0.33 (0.015)	-0.45 (0.001)

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**Figure 1 | Changing seasonality of Arctic sea-ice cover.** **a**, Seasonal cycle of daily sea-ice extent retrieved from satellite monitoring<sup>31</sup>, coloured by year (1979-2017). The bottom grey series indicates the range (max-min) of sea-ice extent for each day of the year, after linear detrending of the data to remove a first-order estimate of secular trends. **b-c** Average open-water seasonal formation for past (1850-1880) and future (2020-2050) conditions estimated from the CESM-LE<sup>17</sup> forced under historical and then Representative Concentration Pathway<sup>32</sup> (RCP) 8.5 forcings. Open-water seasonal formation is defined for each calendar year, and each grid cell, as the range (max-min) in sea-ice concentration for that year and that grid cell. **d-e** Average sea-ice thickness seasonal change for the same past and future periods as in **b-c**, using the same model outputs. Sea-ice thickness seasonal change is defined for each calendar year and each grid cell as the range (max-min) of sea-ice thickness between July 1<sup>st</sup> and June 30<sup>st</sup> of the next year. Light-pink contour lines denote the 15% contour line of September sea-ice concentration averaged over the two reference periods.

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**Figure 2 | Efficiency of growth and melt processes as a function of the mean state.** **a**, Ice-formation efficiency (IFE, see Methods) estimated from 44 CMIP5 models and their members ( $n=146$ ) over 1955-2004, plotted against the mean state defined as the annual mean sea-ice volume north of 80°N averaged over the same period. Individual model realisations are plotted as dots and ensemble means as circles; the size of circles is proportional to the number of members used for averaging. A full referencing of CMIP5 models is available in Extended Data Table 1. Also plotted are estimates from a sea-ice reanalysis<sup>22</sup> (1979-2015) and from satellite retrievals<sup>10,33</sup> (2003-2008). Error bars on both estimates are the standard deviation on the corresponding diagnostics and mean state (see Methods). **b**, IFE against mean state estimated from a 1-D sea-ice–ocean-mixed-layer model (see Methods) integrated under reference conditions (black dot) and with varying sea-ice conductivity, albedo and forcing (blue, green and red dots, respectively). The grey envelopes are the one and two standard deviation confidence intervals from a  $1/x$  fit of the CMIP5 data presented in **a**. **c**, same as **a** but for the open-water-formation efficiency (OWFE). **d**, same as **b** but for the OWFE.

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**Figure 3 | Influence of mean state on sea-ice volume variability.** Relationship between four indices of total Arctic sea-ice-volume variability (y-axes) and the mean state (annual mean Arctic sea-ice volume north of 80°N) (x-axes) in a 35-member model ensemble (CESM-LE<sup>17</sup>) integrated under historical and then RCP8.5 forcings<sup>32</sup>. The analysis is conducted on sliding 20-yr windows (colour shading). **a**, Mean amplitude of the seasonal cycle; **b**, standard deviation of annual mean sea-ice volume; **c**, persistence, estimated as the *e*-folding time scale of linearly detrended anomalies of sea-ice volume; **d**, linear trend in annual mean sea-ice volume. Black crosses and error bars (see Methods) correspond to the estimated mean state and variability from a sea-ice reanalysis<sup>22</sup>.

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**Figure 4 | Challenges in reducing uncertainties of sea-ice volume projections.** Time series of annual mean Arctic sea-ice volume from historical and RCP8.5 forcings<sup>32</sup> (72 simulations available). Colours are referenced in Extended Table 1. Grey time series correspond to simulations with sea-ice volume north of 80°N deemed incompatible with three observational references (see Methods). The statistics reported at the top of the figure refer to the ensemble mean and standard deviation of annual mean sea-ice volume linear change over 2020-2050 (for the full set of models, “ALL”; and for the subset, “SUB”).

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292

## 293 **METHODS**

### 294 **Data Availability.**

295 All the results produced in this manuscript can be reproduced bit wise. The scripts used for  
296 creating figures and statistics are available through the following public Github repository:

297 <https://github.com/fmassonn/paper-arctic-processes>

298 Specifically, the two functions evaluating the IFE and OWFE will be incorporated in the  
299 Earth System Evaluation tool (ESMValTool, <http://esmvaltool.org>) for wider use by the  
300 climate community.

301 The data used in the scripts above can be retrieved from the following archive:

302 <https://doi.pangaea.de/10.1594/PANGAEA.889757>

303 Instructions on how to use this data and how to run the scripts can be found in the  
304 README.md file coming with the Github project above.

305 **Domain of study for investigation of sea-ice thermodynamics.** The goal of the present  
306 study is to investigate how vertical thermodynamic processes affect the Arctic sea-ice volume  
307 variability. The spatial domain must therefore be chosen appropriately in order to minimise  
308 the effect of sea-ice dynamics on the results. A recent study<sup>25</sup> has shown that thickness  
309 variability at the local scale is largely dynamically driven. Conducting analyses at the model-  
310 grid-cell level is therefore futile to measure thermodynamic processes. In contrast, a global  
311 domain (such as the whole Arctic) is not desirable either, as sea-ice volume and area would be  
312 impacted by horizontal oceanic processes which are not in the scope of our analyses. We  
313 chose the oceanic cap north of 80°N as reference domain for five reasons: (1) the domain is  
314 large enough to smooth out the effect of sea-ice dynamics on the area and thickness budgets,  
315 (2) it is located in the interior of the multiyear ice zone during the historical period (1861-  
316 2004) and therefore relatively sheltered from heat advected by the ocean from the south, (3)  
317 the domain retains sea ice (even in summer) in most CMIP5 models until at least the mid-  
318 century, while sea ice disappears seasonally elsewhere, (4) the domain is relatively well  
319 sampled in terms of observations of sea-ice thickness (ICESat campaign<sup>10</sup>), and (5) sensitivity  
320 tests conducted a posteriori with a 1-D thermodynamic sea-ice–ocean model (Fig. 2b, d)  
321 reveal a remarkable similarity in the efficiency of processes as a function of the mean state.  
322 This is of course not sufficient to claim that the choice of the domain is appropriate, but  
323 indicates that the first-order thermodynamics of sea-ice models can be investigated in that  
324 domain with reasonable confidence.

### 325 **CMIP5 simulations.**

326 *Climate models.* We analysed results from 44 GCMs participating to the Coupled Model  
327 Intercomparison Project, phase 5 (CMIP5)<sup>34</sup>, a suite of state-of-the-art climate models used as  
328 scientific support for, e.g., the last International Panel on Climate Change report (IPCC  
329 AR5)<sup>1</sup>. The number of 44 models corresponds to all models for which monthly mean outputs

330 of sea-ice volume per unit grid-cell area (variable “sit”) and sea-ice concentration (variable  
331 “sic”) were available over the historical period (1861-2004). Each model provides from one to  
332 ten runs (‘members’) that aim at sampling the intrinsic internal variability of the climate  
333 system. We ran the diagnostics of the study for each member separately, but also presented  
334 for convenience the ensemble mean of those diagnostics for each model. The statistics  
335 reported, such as correlations, were always evaluated on the ensemble mean of diagnostics.

336 *Sea-ice component in the models.* Up to a few exceptions, nearly all climate models  
337 participating to CMIP5 have a similar sea-ice dynamical component, based on the so-called  
338 viscous-plastic rheology. The thermodynamic component of the models is more dependent on  
339 the model, with some models explicitly simulating the subgrid-scale ice-thickness distribution  
340 (ITD) and resolving heat conduction using multiple layers of ice and snow, while others  
341 assume that sea ice can be represented as a slab with no thermal inertia. A clustering of the 44  
342 CMIP5 models used in this study was done based on the documentation found in the literature  
343 about the sea-ice components of those 44 models. Four groups were defined based on the  
344 complexity of their sea-ice component: (1) ‘very simple’ models, i.e. those without any  
345 representation (explicit or implicit) of the subgrid-scale ITD (2) ‘simple’ models, i.e. those  
346 with an implicit (virtual) ITD, that is, in which conductive heat fluxes are corrected for the  
347 unresolved nonlinear effects of the subgrid-scale ITD on vertical heat conduction fluxes, but  
348 with no assumed heat capacity for sea ice (the so-called “0-layer” thermodynamics) (3)  
349 ‘intermediate’ models, i.e. those with either an explicit ITD but following the 0-layer  
350 formalism, or with a virtual ITD but multiple layers of ice and snow, and (4) ‘complex’  
351 models, i.e. those with an ITD and resolved multiple ice and snow layers. The correspondence  
352 between the model name and model complexity is given in Extended Data Table 1.

353 **CESM-LE simulations.** Due to the limited number of members available from CMIP5  
354 models (maximum 10), we ran additional analyses with the Community Earth System Model  
355 Large Ensemble (CESM-LE)<sup>17</sup> data set. This ensemble consists of  $n = 35$  members integrated  
356 from 1920 to 2100 under historical (1920-2005) and Representative Concentration Pathway<sup>32</sup>  
357 (RCP) 8.5 (2006-2100) forcings. Similarly to CMIP5 models, the diagnostics were computed  
358 on monthly mean outputs of sea-ice thickness and concentration, on the native grid of the  
359 model. An overview of the ability of the CESM-LE to replicate observations is available in  
360 Extended Data Fig. 3 (to be compared with Fig. 1a of the main manuscript).

361 **Observational and reanalysis data.** Daily values of Arctic sea-ice extent (Fig. 1a) are  
362 retrieved from the National Snow and Ice Data Center (NSIDC) sea-ice index<sup>31</sup>. Observed  
363 sea-ice concentrations used for the evaluation of the Ice Formation Efficiency (IFE) and Open  
364 Water Formation Efficiency (OWFE) (Fig. 2c) are retrieved from the Ocean and Sea Ice  
365 Satellite Application Facility (OSI SAF) archive<sup>33</sup>. Observed sea-ice thicknesses from the  
366 ICESat satellite campaign<sup>10</sup> are used for the evaluation of the two diagnostics (Fig. 2a-c).  
367 Caution must be placed in the interpretation of the two diagnostics derived from observations,  
368 as the reference period used to compute them is short (2003-2008) and the products  
369 themselves are uncertain, particularly for sea-ice thickness. However, these products give a  
370 first indication on the observed diagnostics and the resulting model biases. A sea-ice  
371 reanalysis (PIOMAS)<sup>22</sup> was also analysed. It consists of a 1979-2015 integration of an

372 ocean–sea-ice model nudged towards observed sea-ice concentrations and sea-surface  
373 temperatures. Although being first and foremost a product derived from model outputs, this  
374 reanalysis shows reasonable agreement with independent data<sup>22</sup>.

375 **1-D sea-ice–ocean model.** A one-dimensional thermodynamic sea-ice–ocean-mixed-layer  
376 model has been implemented to interpret physically the results obtained by GCMs. The code  
377 of that toy model is available as Supplementary Information (see 'Long-term availability and  
378 reproducibility of the results' hereunder). The interpretation of results obtained from this  
379 model should be made with caution, since this model lacks a number of processes and does  
380 not display spatial dimensions. The physics of the model is a simplified and one-dimensional  
381 version of the thermodynamic component of the Louvain-la-Neuve sea ice model, LIM2<sup>35</sup>.  
382 Unlike LIM2, the toy model does not account for the thermal inertia of the ice, nor simulates  
383 ice dynamics nor snow processes.

384 *Model.* The model has four state variables: sea-ice volume per grid cell area, sea-ice  
385 concentration, sea-ice-surface temperature and ocean-mixed-layer temperature. No snow is  
386 present at the top of sea ice. At each time step, an energy budget is computed at the open  
387 ocean surface, and the heat imbalance is used to warm or cool a constant 30 m deep oceanic  
388 mixed layer. We recognise the limitations behind this assumption, as in reality mixed-layer  
389 depth exhibits seasonal variations<sup>36</sup>. If the updated oceanic mixed-layer temperature drops  
390 below the seawater freezing point ( $-1.8^{\circ}\text{C}$ ), the equivalent energy is used to grow pure sea ice  
391 (0 PSU) in open water (volumetric latent heat of fusion:  $300.33 \times 10^6 \text{ J/m}^3$ ), with an initial  
392 thickness of 10 cm. This newly formed ice is accreted to the existing ice from the previous  
393 time step. Then, an energy budget is computed at the top and bottom sea-ice surfaces to  
394 determine how surface and basal processes modify sea-ice thickness and concentration. The  
395 heat conductive flux through sea ice is derived from Fourier's law assuming a constant sea-ice  
396 thermal conductivity ( $2.0344 \text{ W/mK}$ ) and constant bottom ice temperature ( $-1.8^{\circ}\text{C}$ ). The  
397 conductive heat flux is boosted to account for the subgrid-scale variations in sea-ice thickness,  
398 assuming that it is uniformly distributed between 0 m and twice the mean thickness<sup>37</sup>. If the  
399 net energy balance at the sea-ice top surface is positive, sea-ice thickness is reduced uniformly  
400 assuming again that it is uniformly distributed between 0 and twice the mean value; this  
401 results in a decrease in sea-ice concentration. An energy budget is finally computed at the  
402 base of the ice. Here, a parameterised ocean-ice turbulent heat flux<sup>37</sup> is used assuming  
403 constant sea-ice velocity ( $1 \text{ cm/s}$ ), seawater density ( $1024.458 \text{ kg/m}^3$ ) and drag coefficient  
404 ( $0.005$ ). The energy imbalance is used to grow or melt ice at the base of the existing ice floe.

405 *Forcing.* The atmospheric forcing used to drive the ice-ocean model follows the formulation  
406 of Notz, 2005<sup>38</sup> based on the tabulated data of Maykut and Untersteiner, 1971<sup>39</sup> and Perovich  
407 et al., 1999<sup>40</sup>. Incoming heat fluxes consist of a short-wave component and a 'non-solar'  
408 component. Sea-ice albedo varies throughout the year and is based on observational data. The  
409 incoming fluxes are perturbed to emulate the interannual evolving nature of the atmosphere.

410 *Reference experiment.* In the standard case, the model is initialized from a 1.0-m-thick sea-ice  
411 cover occupying 50% of the grid cell. Sea-ice-surface temperature is set to  $-10.0^{\circ}\text{C}$  and the  
412 oceanic mixed-layer temperature is set to  $-1.8^{\circ}\text{C}$ . The time step is one day. Under these

413 conditions, the model reaches its equilibrium after ~15 years (Extended Data Fig. 4). The  
414 equilibrium annual mean ice thickness (~3.5 m) corresponds, when integrated over the  
415 domain north of 80°N (surface:  $3.87 \times 10^6 \text{ km}^2$ ), to the volume of  $\sim 13.6 \times 10^3 \text{ km}^3$  marked by  
416 the black dot in Fig. 2b-d.

417 *Sensitivity experiments.* To produce the sensitivity experiments presented in Fig. 2b,d, we  
418 integrated the model under various changes in parameters and forcings for 100 years and  
419 conducted the analyses on the last 50 years of the simulations. We first incremented the sea-  
420 ice thermal conductivity by 10, 20, 30, 40 and 50%, and then decreased it by the same  
421 amounts (blue dots in Fig. 2b,d). Then we incremented the annual mean sea-ice albedo by 1,  
422 2, 3, 4 and 5%, and decreased it by the same amounts (we kept the ice thermal conductivity at  
423 its reference value). These are the green dots in Fig. 2b,d. Finally, we increased the annual  
424 mean value of the non-solar forcing by 1, 2, 3, 4 and 5%, and decreased it by the same  
425 amounts (we kept both the ice thermal conductivity and the annual mean sea-ice albedo at  
426 their reference values). These are the red dots in Fig. 2b,d.

#### 427 **The IFE and OWFE diagnostics.**

428 The evaluation of growth and melt processes require as input the time series of Arctic sea-ice  
429 volume north of 80°N (for IFE) and sea-ice volume and area north of 80°N (for OWFE). The  
430 original time series of volume and area from all 44 CMIP5 models are available in Extended  
431 Data Figs. 5 and 6.

432 *Ice-formation efficiency (IFE).* The evaluation of the IFE is graphically illustrated in Extended  
433 Data Fig. 7 (a,b). First, the time series of the Arctic sea-ice volume north of 80°N (see  
434 'Domain for investigation of sea-ice thermodynamics' above) is computed. Then, for each  
435 calendar year of the time series but the last one, (1) the annual minimum sea-ice volume is  
436 recorded for that year ( $V_{min}$ ) and (2) the annual maximum of the next year is recorded ( $V_{max}$ ).  
437 The ice volume created ( $\Delta V = V_{max} - V_{min}$ ) is then computed. Finally, a linear regression is  
438 conducted between  $V_{min}$  ( $x$ , predictor) and  $\Delta V$  ( $y$ , predictand) over all years. The IFE is  
439 defined as the slope of the regression line between  $\Delta V$  and  $V_{min}$ . By default, both  $\Delta V$  and  $V_{min}$   
440 are linearly detrended prior to the regression in order to avoid spurious relationships between  
441 those variables due to possible secular trends. This detrending does not affect the conclusions  
442 of the manuscript (Extended Data Fig. 8a).

443 The IFE is a dimensionless number and can be interpreted as the efficiency of a model to  
444 recover a summer anomaly of sea-ice volume either completely (IFE = -1.0) or not at all (IFE  
445 = 0.0).

446 Extended Data Fig. 9 illustrates the methodology for all 44 CMIP5 models.

447 *Open-water-formation Efficiency (OWFE).* The diagnostic derives from from Holland et al.,  
448 2006<sup>8</sup>. The evaluation of the OWFE is graphically illustrated in Extended Data Fig. 7 (c,d).  
449 First, the time series of the Arctic sea-ice volume and area north of 80°N (see 'Domain for  
450 investigation of sea-ice thermodynamics' above) are computed. Then, for each calendar year  
451 of the time series, the months of annual maximum and minimum sea-ice volumes are recorded

452 ( $t_{min}$  and  $t_{max}$ , respectively). The volume loss for that year  $\Delta V = V(t_{min}) - V(t_{max})$  is estimated.  
453 The area loss for that year  $\Delta A = A(t_{min}) - A(t_{max})$  is computed. Note that the area difference is  
454 not taken between the minimum and maximum of area time series, which do not necessarily  
455 coincide with the timings of volume extrema. Finally, a linear regression is conducted  
456 between  $\Delta V$  ( $x$ , predictor) and  $\Delta A$  ( $y$ , predictand) over all years. The OWFE is defined as the  
457 slope of the regression line between  $\Delta A$  and  $\Delta V$ . By default, both  $\Delta A$  and  $\Delta V$  are linearly  
458 detrended prior to the regression to avoid spurious relationships between those variables due  
459 to secular trends. This detrending does not affect the conclusions of the manuscript (Extended  
460 Data Fig. 8b).

461 The OWFE is a number with units  $m^{-1}$  and measures the efficiency at which a model forms  
462 open water (or reduces sea-ice area) given a unit reduction in sea-ice thickness<sup>8</sup>.

463 Extended Data Fig. 10 illustrates the methodology for all 44 CMIP5 models.

464 *Physical meaning.* It is important to recognise that neither OWFE nor IFE are strict measures  
465 of feedback *per se*. However, since both melt and growth processes are central elements in the  
466 negative and positive feedback loops described above, the two diagnostics allow appreciating  
467 the first-order role played by sea ice in these feedbacks.

468 *Uncertainty.* Both IFE and OWFE are defined as regression coefficients. The standard  
469 deviation of the estimated coefficients is taken as the measure of uncertainty on the two  
470 diagnostics (e.g., for observations and the reanalysis in Fig. 2). The uncertainty in annual  
471 mean sea-ice volume is defined as the standard deviation of annual mean sea-ice volume time  
472 series (e.g., Figs. 2 and 3).

473 **No sensitivity to reference period.** The analyses with CMIP5 models are conducted over the  
474 reference period 1955-2004, which corresponds to the last 50 years of the historical period  
475 defined by the CMIP5 protocol<sup>34</sup>. The robustness of the findings was tested using different  
476 periods. Results were found to be insensitive to this choice (Extended Data Fig. 11). Results  
477 were also found to be robust with respect to the separation in time: computation of OWFE and  
478 IFE on an earlier period than the Arctic sea-ice variability indices yields similar results  
479 (Extended Data Fig. 12).

#### 480 **Can we reduce uncertainties in projected ice-volume trends?**

481 Bitz and Roe (2004)<sup>9</sup> first identified a robust relationship between the simulated Arctic annual  
482 mean sea-ice volume and the projected volume loss. In line with their conclusions and with  
483 the physical arguments given in our manuscript, we also reproduce this result (Extended Data  
484 Fig. 13). From this relationship, it would appear natural to subset the CMIP5 ensemble based  
485 on their ability to simulate the observed annual mean sea-ice volume in our domain of study  
486 (i.e., the  $x$ -axis of Extended Data Fig. 15). However, there are at least four obstacles that make  
487 the application of this constraint difficult: (1) there is considerable uncertainty in the raw  
488 retrievals in observations of ice freeboard and draft due to instrumental error, (2) there is  
489 considerable uncertainty in the deduced sea-ice thickness due to assumptions (e.g., hydrostatic  
490 equilibrium, climatological snow load) and the parameters used to convert the raw

491 measurements to sea-ice thickness (snow and ice density are taken as constants)<sup>30</sup>, (3) the  
492 period for which large-scale estimates of sea-ice volume are available is short (~15 years) and  
493 interannual variability is large, meaning that time averages are subject to large sampling  
494 errors, and (4) sea-ice thickness uncertainties are particularly large (or no sea-ice thickness  
495 estimates are available) in summer. Given all these sources of uncertainty, it appears clearly  
496 that reliably estimating the true annual mean sea-ice volume from observations is impossible  
497 nowadays, and hence applying a reliable constraint based on the annual mean sea ice volume  
498 is not feasible.

499 As an alternative, we follow a much less constrained approach. We discard simulations that  
500 have a monthly mean sea-ice volume north of 80°N systematically higher or lower than three  
501 standard observational references: IceSat, CryoSat2 and the ITRP datasets<sup>10,41,42</sup> over the  
502 period of observational data availability (2000-2017, Extended Data Fig. 14). In other words,  
503 we disregard simulations for which the sea-ice volume north of 80°N for each month of each  
504 year is always outside the observational range. Applying this constraint on the CMIP5  
505 ensemble (RCP8.5, 2005-2100), we discard 14 simulations out of 72 available. The ensemble  
506 mean of 2020-2050 projected ice-volume loss hardly changes after the application of this  
507 constraint (from  $-6.85$  to  $-6.80 \times 10^3$  km<sup>3</sup>) and the spread around these estimates is only  
508 reduced by about 17% (from  $3.08$  to  $2.56 \times 10^3$  km<sup>3</sup>) (Fig. 4).

509

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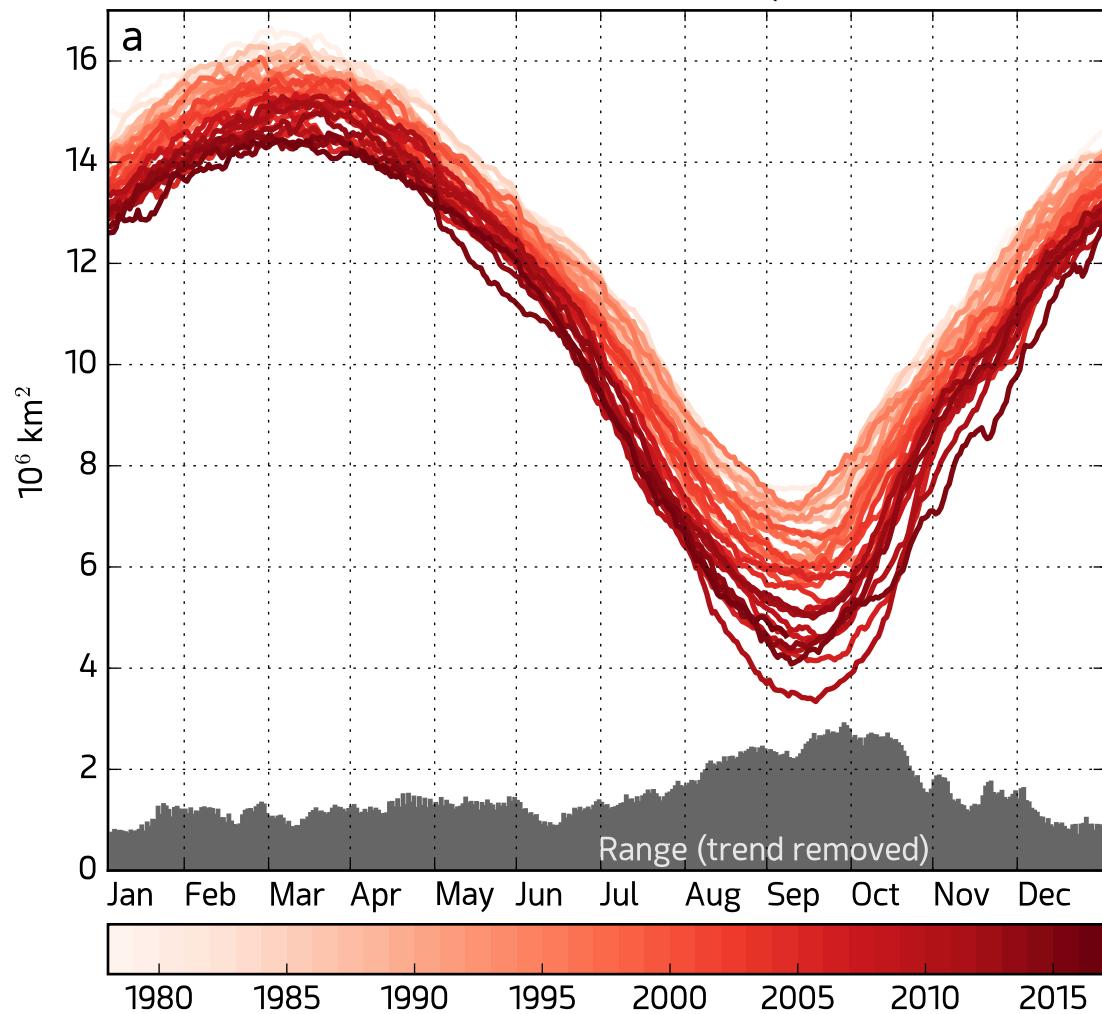
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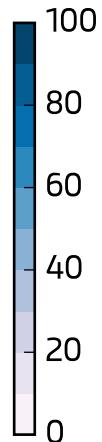
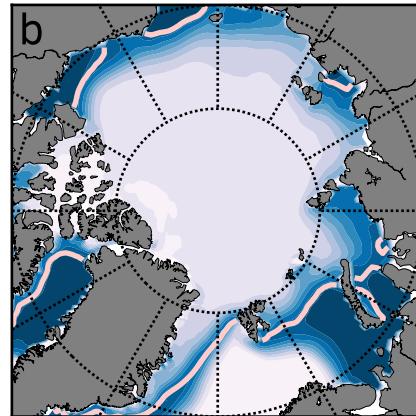
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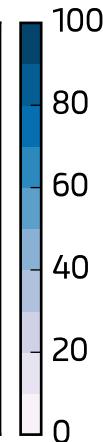
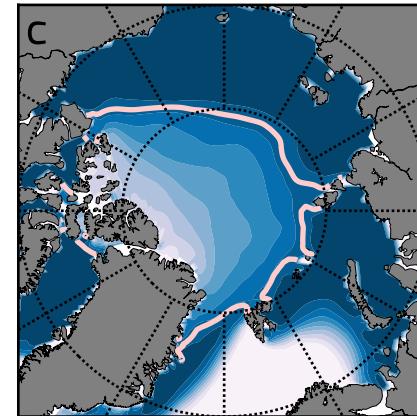
Observed Arctic sea-ice extent, 1979-2017



Open water formed  
1850-1880 (model)

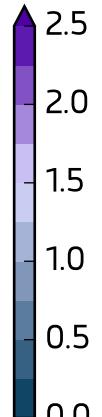
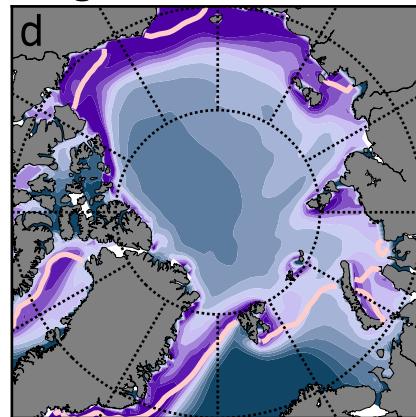


Open water formed  
2020-2050 (model)

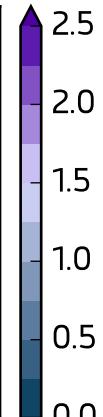
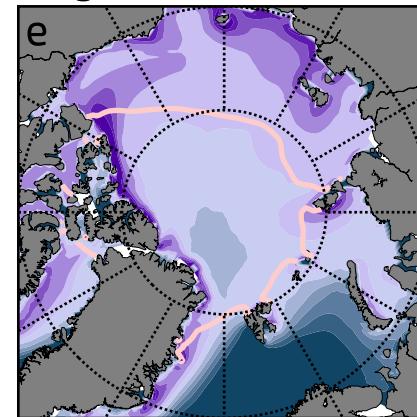


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Ice thickness seasonal  
change 1850-1880 (model)

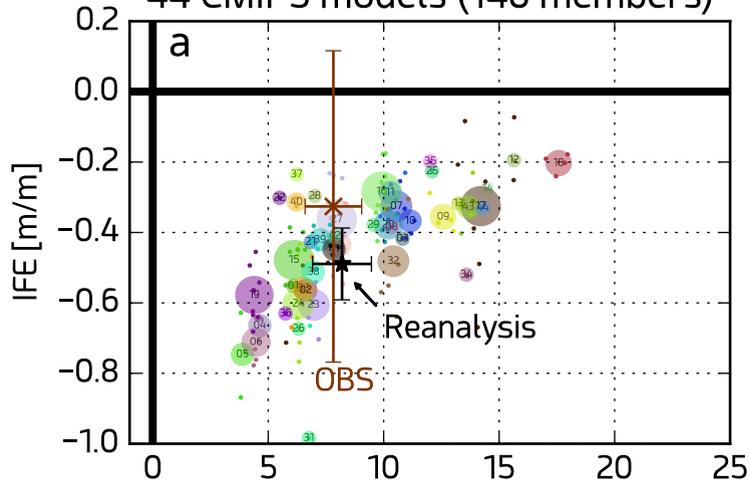


Ice thickness seasonal  
change 2020-2050 (model)

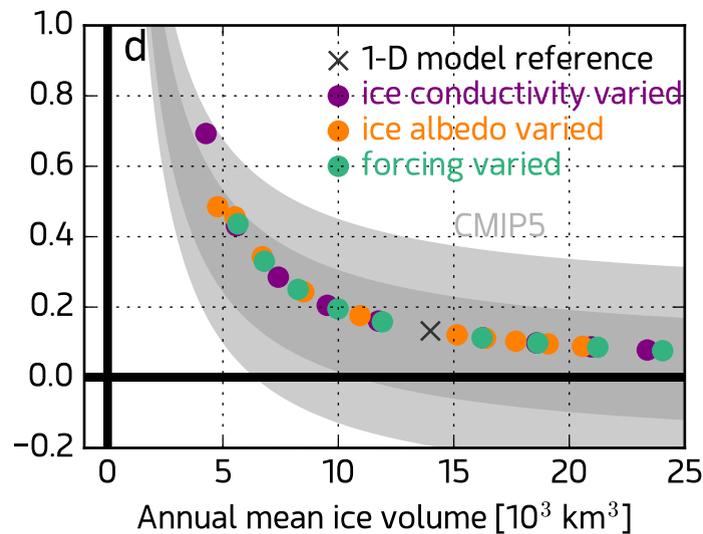
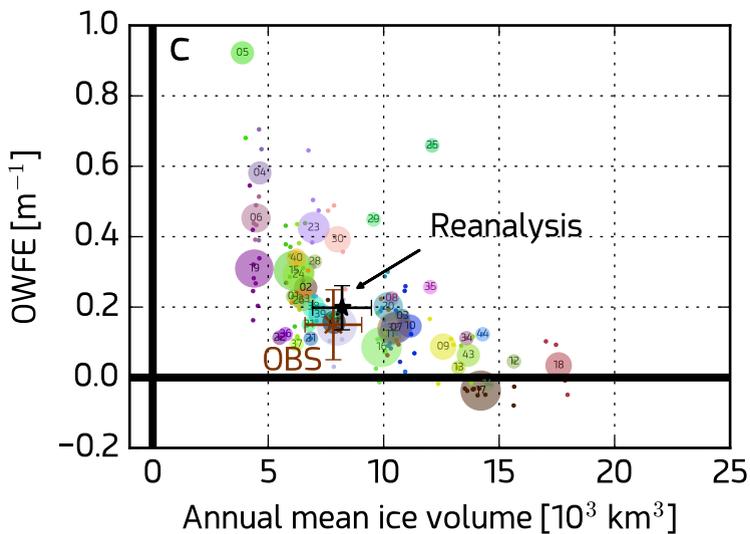
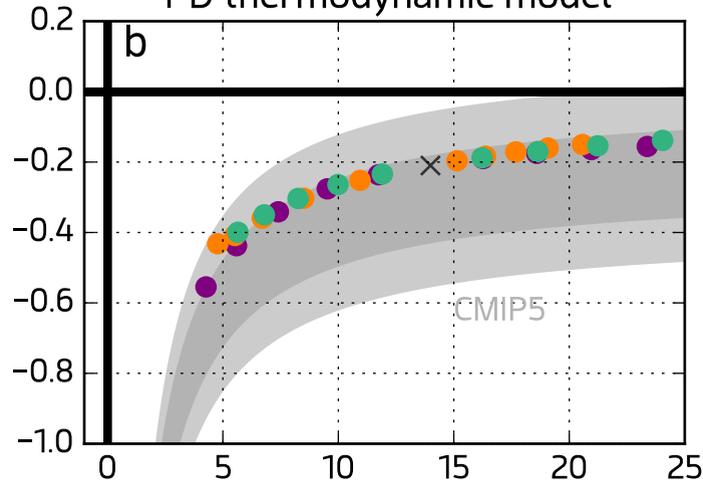


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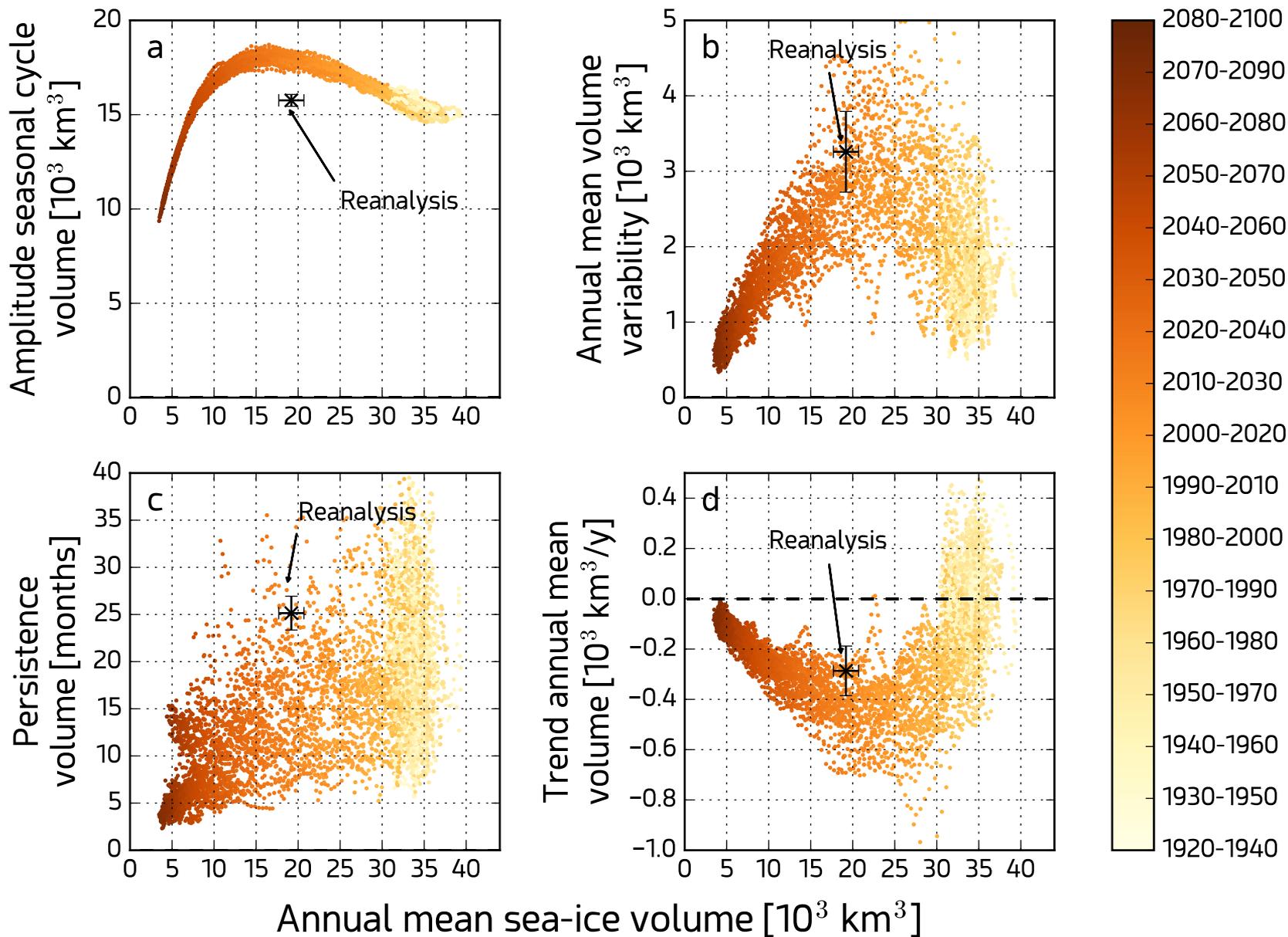
44 CMIP5 models (146 members)



1-D thermodynamic model



# CESM large ensemble (35 members) historical + RCP8.5 forcings



# 72 CMIP5 simulations (historical + RCP8.5 scenarios)

